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# UKRI OPEN ACCESS POLICY GUIDANCE ON PEER-REVIEWED RESEARCH ARTICLES

This page gives you an overview of the [updated UKRI open access policy](#). UKRI open access requirements apply to peer-reviewed research articles (including conference proceedings and review papers) which acknowledge UKRI funding, whether from currently active or completed grants, and it affects:

- **Journal articles and conference proceedings acknowledging UKRI funding which are submitted on or after 1 April 2022**
- book chapters, edited collections and monographs published on or after 1 January 2024

This document focusses on the policy as it applies to journal articles and conference proceedings.

## JOURNAL ARTICLES, REVIEW ARTICLES & CONFERENCE PROCEEDINGS

### **SUMMARY OF REQUIREMENTS**

[This policy](#) applies to peer-reviewed research articles (including conference papers and review articles) **submitted for publication from 1 April 2022 which acknowledge UKRI funding**. Authors should retain copyright to their scholarly work, and **all articles must be published under a Creative Commons Attribution licence (CC-BY)**. Grant holders **must include the following statement in ALL submissions to peer-reviewed journals**:

**For the purpose of open access, the author has applied a ‘Creative Commons Attribution (CC BY) licence to any Author Accepted Manuscript version arising from this submission.**

This statement must be included in the funding acknowledgement section of the manuscript and any cover letter that accompanies the article submission. Embargoes on making Author Accepted Manuscripts openly available are no longer permitted and UoE will not be able to pay article processing charges for articles published in hybrid journals (subscription-based journals that allow authors to make individual articles gold open access immediately on payment of an article publication charge).

### **PUBLISHING YOUR RESEARCH IN ACADEMIC JOURNALS: ROUTES TO COMPLIANCE**

There are three compliant routes for publication:

- **Route 1** - Publish your article in a **fully open access journal** or platform indexed by [DOAJ](#) (directory of Open Access Journals). UoE can cover Route 1 APC (Article Processing Charge) costs to all eligible UKRI funded staff, **authors should apply for APC funding via [this form](#)**.
- **Route 2** - **Publish in a subscription journal and upload your Author Accepted Manuscript in Pure on acceptance**. When complying using this route, no APC should be paid to the publisher.
- **Route 3** - Publish in a subscription journal that is part of a “read and publish” arrangement between a publisher and UoE which allows UoE corresponding authors to publish open access for no extra cost. A fully searchable list of eligible journals can be found [here](#).

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UKRI funded authors can use the [Journal Checker Tool](#) to confirm if their preferred journal enables them to comply with UKRI and, if so, which route to use.

If you are unsure or have any questions about the best route to compliance, please email:

[PPLSOpenResearch@ed.ac.uk](mailto:PPLSOpenResearch@ed.ac.uk)

### Before submitting an article to a journal

- Include the following statement in your submission: *For the purpose of open access, the author has applied a Creative Commons Attribution (CC BY) licence to any Author Accepted Manuscript version arising from this submission.*
- Use the journal checker tool to determine which compliance routes are available to you.
- Inform your co-authors about all UKRI publication requirements.

### After acceptance

- Deposit your accepted manuscript in Pure as soon as possible.

### After publication

- Add the publication date and the doi or link in Pure as soon as possible and no later than a month after publication.

### PREPRINTS

- UKRI-funded researchers are **encouraged** to post preprints of their work via established preprint servers (for example [bioRxiv](#), [arXiv](#) or [PsyArXiv](#)).
- Where there is a significant public health benefit to preprints being shared widely and rapidly, such as a disease outbreak or health emergencies, UKRI **requires** the posting of preprints. This requirement is relevant **for the COVID-19 pandemic**.

### DATA STATEMENT

UKRI also require that articles must include a statement explaining how other researchers can access any data, original software or materials underpinning the research, even where there are no data associated with the output. More information on the UKRI policy on data statements is available [here](#).

### LICENSING EXCEPTIONS

The UKRI open access policy requires all in scope research articles to be published under a CC BY licence. A creative commons no-derivatives licence (CC BY-ND) may be permitted by exception.

Apply for a no-derivatives licence exception here: <https://edin.ac/3t7dRZ4>

### ADDITIONAL NOTES

- UKRI does not support publication charges (for instance, page colour and cover artwork charges). These costs may not be included in new UKRI grant applications, and no central UoE or school funds are available for them.



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## **LINKS**

- UKRI open access policy in full: <https://edin.ac/3gygBIQ>
- Directory of Open Access journals: <https://doaj.org/>
- List of “read and publish” journals: <https://www.ed.ac.uk/information-services/research-support/publish-research/open-access/read-and-publish-journals>
- UoE Open access cost claim form: <https://www.ed.ac.uk/information-services/research-support/publish-research/open-access/open-access-publication-charges-claim-form>
- Journal checker tool: <https://journalcheckertool.org/>

## **CONTACT**

For further information about open access policies, please contact [PPLSOpenResearch@ed.ac.uk](mailto:PPLSOpenResearch@ed.ac.uk)

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