

## Hierarchical complexity of the neonatal brain

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### Introduction:

Preterm birth is associated with long term cognitive deficits and alterations to structural connectivity of developing brain networks. Global network characteristics modelled topological properties of brain regions and the connections between them reveal architectures that are shared across the life course. These include small-worldness, clustering coefficient or rich-club coefficient<sup>1-3</sup>. In recent work, it was shown that a rich diversity of connectivity patterns within hierarchically equivalent nodes (hierarchical complexity, HC)<sup>4</sup>, is a strong signature of the adult human connectome and not a general property of real-world networks like several of the other standard global network metrics<sup>5</sup>. We aimed to determine whether the newborn brain resembles the adult in terms of HC, if so, whether HC is altered in association with early exposure to extrauterine life caused by preterm birth.

### Methods:

Neonatal dataset: 136 neonates (77 preterm and 59 term) underwent MRI at term equivalent age at the Edinburgh Imaging Facility Royal Infirmary of Edinburgh. A Siemens MAGNETOM Prisma 3 T MRI clinical scanner (Siemens Healthcare Erlangen, Germany) and 16-channel phased-array paediatric head coil were used to acquire: 3D T2-weighted SPACE (T2w) (voxel size = 1mm isotropic) and axial dMRI with volumes/b = 3/200, 6/500, 64/750 and 64/2500 s/mm<sup>2</sup> and 16 non-weighted images (2mm isotropic).

Adult dataset: The HCP test-retest dataset consisting of T1-weighted and DW-MRI data from 45 healthy subjects. The data consist of three shells with b = 1,000, 2,000 and 3,000 s/mm<sup>2</sup> in 90 DW volumes and six non-weighted images per shell (1.25 mm isotropic).

Processing: The neonatal dMRI volumes were denoised<sup>6</sup>; the eddy current, head movement and EPI geometric distortions were corrected<sup>7-9</sup>, and bias field inhomogeneity correction was applied<sup>10</sup>. The T2w images were processed using the minimal processing pipeline of the dHCP<sup>11</sup>. For parcellation, ten manually labelled subjects of the M-CRIB atlas<sup>12</sup> were registered to the bias field corrected T2w using affine and SyN<sup>13</sup>, and then the registered labels of the ten atlases were merged using joint label fusion<sup>14</sup> resulting in 84 ROIs. The HCP dataset was already preprocessed, with the Desikan-Killany parcellation<sup>15, 16</sup>.

Tractography was performed using CSD with multi-tissue response function, using ACT and SIFT2<sup>17-21</sup>. The resulting matrices were then thresholded to a density of 0.3 and binarized.

Hierarchical complexity: Let  $G = (V, E)$  be a graph with nodes  $V = \{1, \dots, n\}$  and links  $E =$

$\{(i, j): i, j \in V\}$ , and let  $K = \{k_1, \dots, k_n\}$  be the set of degrees of  $G$ , where  $k_i$  is the number edges adjacent to node  $i$ . Further, let  $K_p$  be the set of nodes of degree  $p$ . For neighbourhood degree sequence  $s_i^p \{s_i^p(1), \dots, s_i^p(p)\}$  of node  $i$  of degree  $p$ , the HC is:

$$R = \frac{1}{D} \sum_{k_p, |k_p| > 1} \frac{1}{p(|k_p| - 1)} \left( \sum_{j=1}^p \left( \sum_{i \in k_p} (s_i^p(j) - \mu^p(j))^2 \right) \right)$$

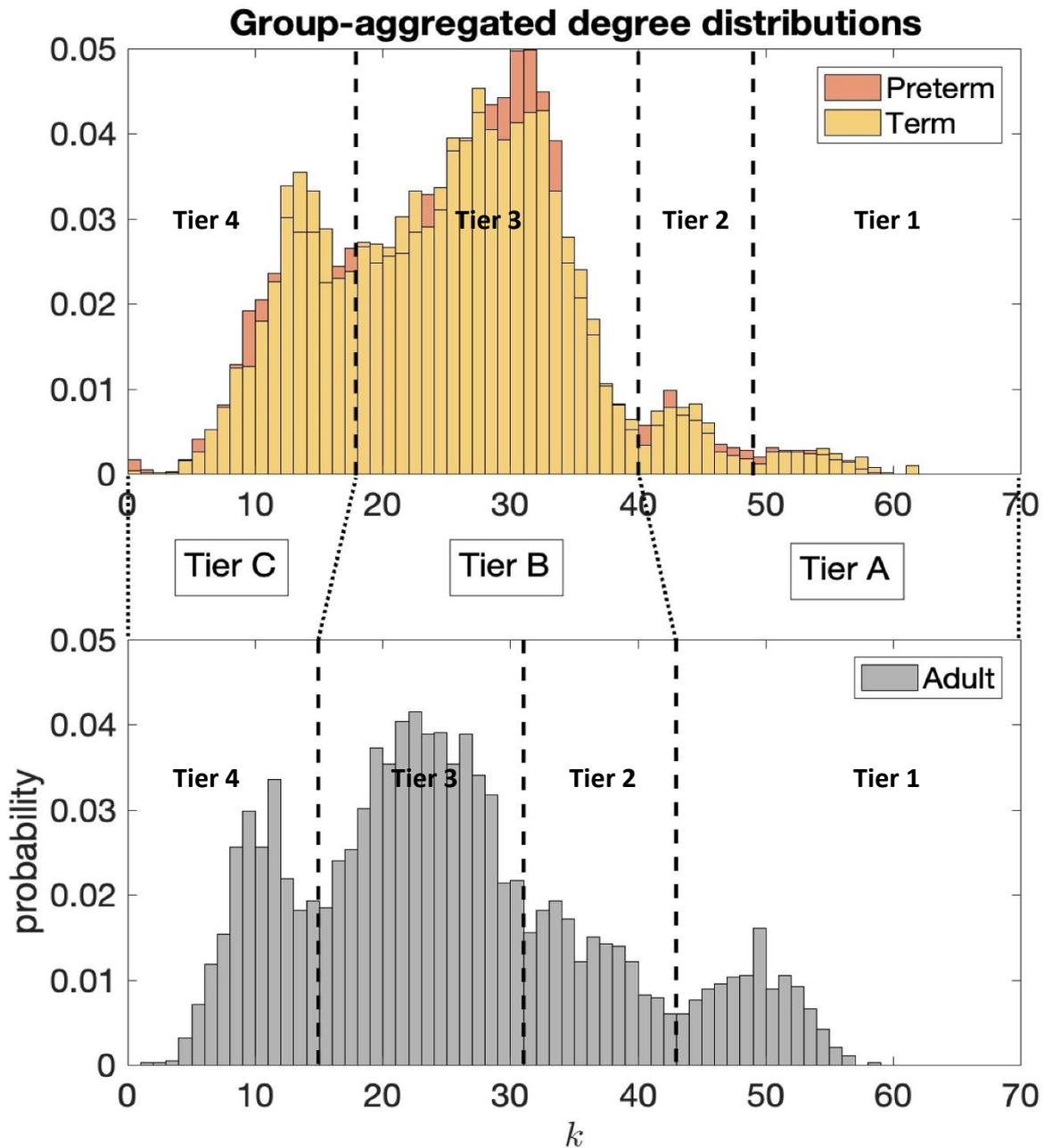
where  $D$  is the number of distinct degrees in the network and  $\mu^p(j)$  is the mean of the  $j$ th entries of all  $p$  length neighbourhood degree sequences<sup>22</sup>.

Tier analysis: A more refined analysis of HC was performed through different degree strengths in the network. The tiers were chosen based on peaks of the group-aggregated degree distributions, 4 tiers were chosen in each population (1-4) and were combined then into 3 Tiers (A-C) to be able to compare neonates and adults. Once tiers were defined, we implemented tier-based analysis comparing Tiers 1-4 between term and preterm born and A-C between neonates and adults.

Statistical analysis: To control for the differences in degree distribution between individual connectomes and the different populations (term and preterm born and adult), we used configuration models<sup>23</sup>. Wilcoxon rank sum tests were carried out to assess the significance of the differences of distributions of network index values between the structural connectomes and configuration models. FDR threshold was 0.0264. The effect sizes were also computed with Cohen's  $d$ .

## Results:

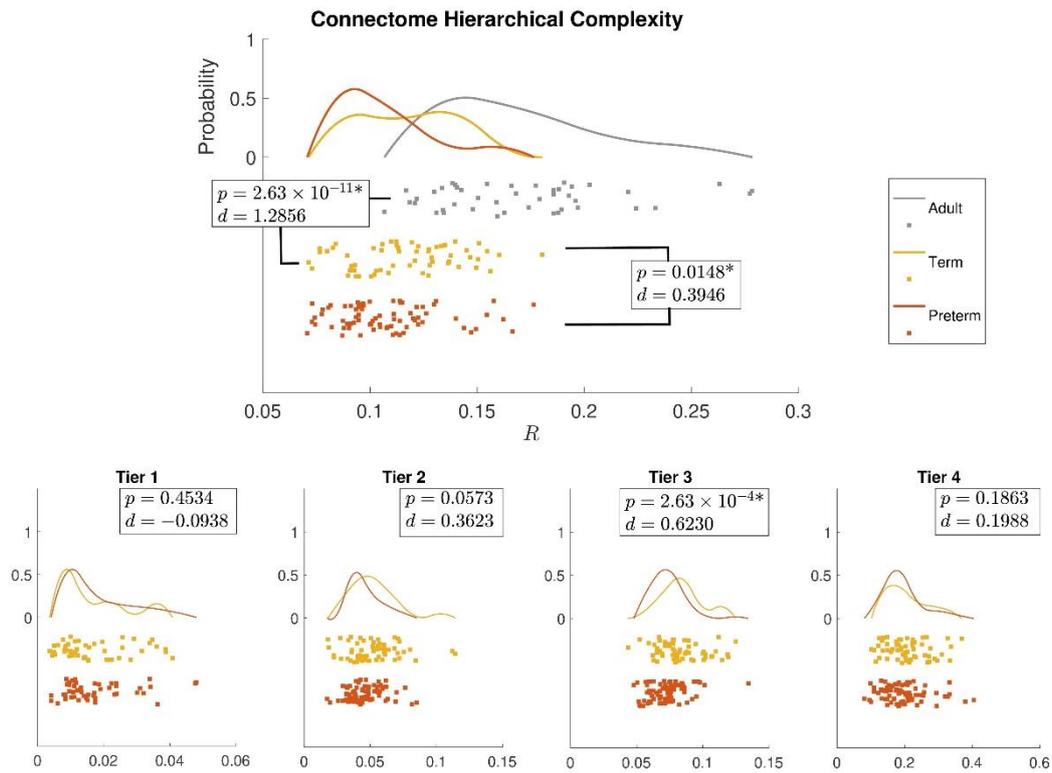
Figure 1 shows the group-aggregated degree distributions.



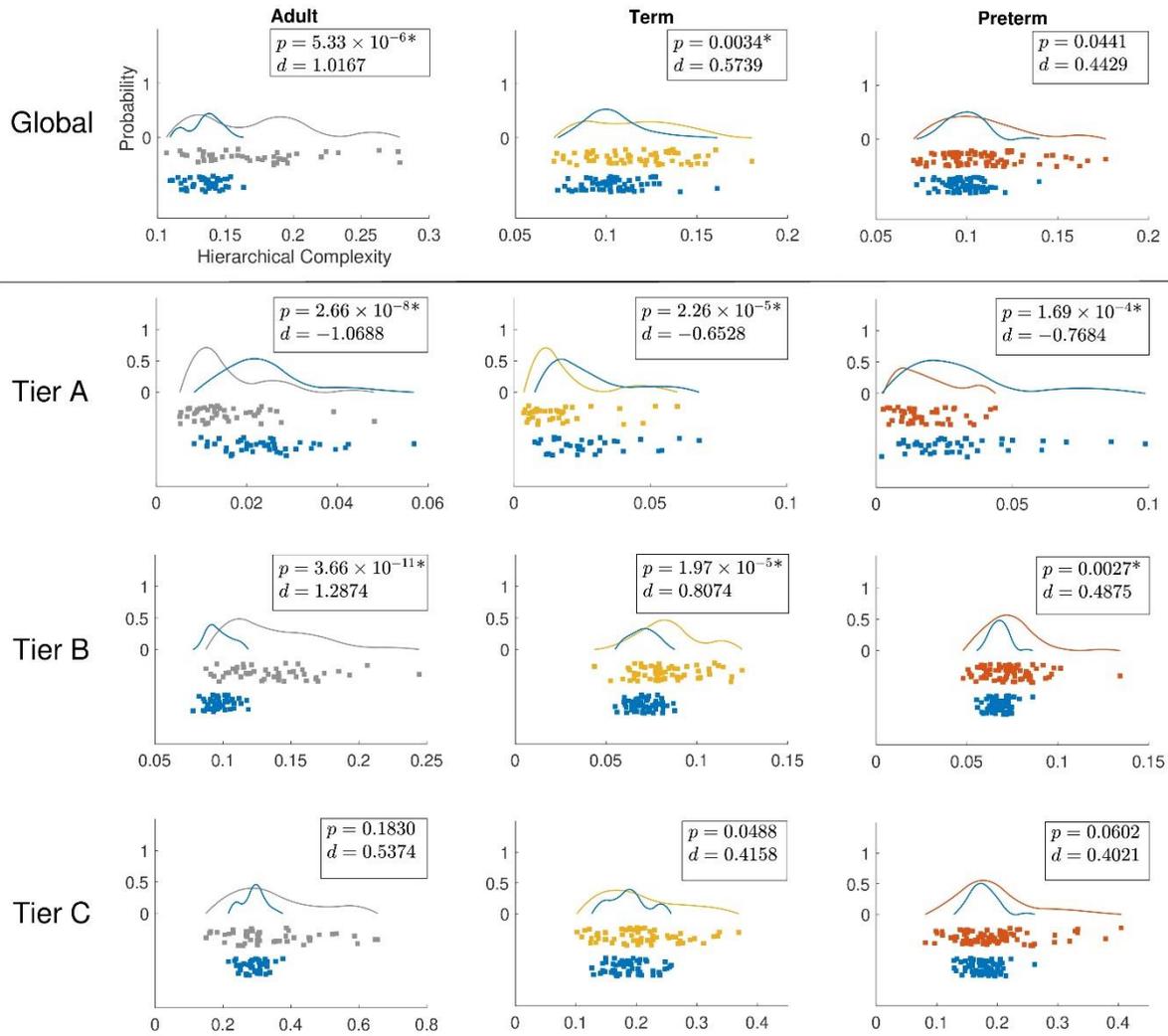
**Figure 1:** Aggregated degree distributions of neonatal groups, top, and the adult group, bottom. Four distinct peaks are noted in the degree distributions of neonatal connectomes and corresponding peaks are also seen in the adult connectomes. These are taken as the natural tiers and black lines indicating the minima between peaks are taken as the thresholds between tiers. Greater consistency between neonates and adults is found by consolidating the tiers as indicated by Tier A, B and C.

HC was significantly larger in term-born neonates than preterm-born neonates ( $p = 0.0148$ ,  $d = 0.3946$ ). Tier 3 showed a corresponding significant difference in HC with a stronger effect size ( $p = 2.63 \times 10^{-4}$ ,  $d = 0.6230$ ), while no difference was evident in any other tier. Global HC of adults was larger than term born neonates ( $p = 2.63 \times 10^{-11}$ ,  $d = 1.2859$ ) (Fig 2). The findings were confirmed in comparisons with configuration models

with term-born connectomes having significantly larger HC than their configuration models, an effect which was not seen in preterm infants (Fig 3).



**Figure 2:** Distribution of the global HC for the three populations as rain cloud plots (top) and HC of the four tiers in neonatal populations (bottom). Wilcoxon rank sum p-values and Cohen's d values are shown for preterm vs term (all) and term vs adult (top).



**Figure 3:** Distributions of HC globally (top) and for the different tiers of the three populations. Grey, yellow and orange colours represent values for adults, term born and preterm born neonates, respectively, while blue represents the values of the HC for the corresponding configuration models. Wilcoxon rank sum p-values and Cohen's d values are shown top right of each plot. Axes as in bottom right plot.

### Discussion and conclusions:

The HC is already present at birth, but still needs to develop to reach the full level of complexity of the adult brain. Preterm babies have different values compared to term babies, in fact the values are not different from a random network. Tier 3 could be discerned as the main cause of the global effect between term and preterm. Interestingly, Tier A shows lower HC than a random network, indicating that the high levels of hierarchy present a structured organization. These findings are in agreement with intuitive notions of natural and human hierarchies: order may be necessary at the top to create structural stability, and this order at top level is resilient to prematurity. All together is consistent with the hypothesis that term born babies have a greater maturation than preterm born babies, with topological properties going in the direction of the properties displayed by the adult connectomes.

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